

FW

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

E E E E E E E E E E E E E E E E E E E	
In re application of:	
	) Confirmation No.: 7167
Qingwen Cheng, et al.	)
	) Examiner: NYA
Serial No.: 10/619,657	)
	) Group Art Unit No.: 2131
Filed on: July 14, 2003	)
For: METHOD AND SYSTEM FOR PROVIDING SYSTEM	ING AN OPEN AND INTEROPERABLE
Mail Stop Amendment	
Commissioner for Patents	
P.O. Box 1450	
Alexandria, VA 22313-1450	
INTEGRALATION DICCLO	OCLIDE OT A TEMENIT

## INFORMATION DISCLOSURE STATEMENT

Sir:

Enclosed is a copy of Information Disclosure Citation Form PTO-1449 together with copies of the documents cited on that form, if needed. It is respectfully requested that the cited documents be considered and that the enclosed Information Disclosure Citation Form PTO-1449 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s).

Pursuant to 37 C.F.R. § 1.97, the submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made and is not to be construed as an admission that the information cited in this statement is material to patentability.

Pursuant to 37 C.F.R. § 1.97, this Information Disclosure Statement is being submitted under one of the following (as indicated by an "X" to the left of the appropriate paragraph):

<u>X</u>	37 C.F.R. §1.97(b).
	37 C.F.R. §1.97(c). If so, then this Information Disclosure Statement includes one of the following:
	A statement pursuant to 37 C.F.R. §1.97(e)

P8959 1

		1.97(e)(1) The undersigned hereby states that each item of information contained in this information disclosure statement was first cited in communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this information disclosure statement.
	•	1.97(e)(2) The undersigned hereby states that no item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in this information disclosure statement was known to any individual designated in §1.56(c) more than three months prior to the filing of this information disclosure statement.
	<del></del>	A check for \$180.00 for the fee under 37 C.F.R. § 1.17(p).
	37 C.F.R the follow	2. §1.97(d). If so, then this Information Disclosure Statement includes wing:
		A statement pursuant to 37 C.F.R. §1.97(e)
		1.97(e)(1) The undersigned hereby states that each item of information contained in this information disclosure statement was first cited in communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this information disclosure statement; OR
	,	1.97(e)(2) The undersigned hereby states that no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in §1.56(c) more than three months prior to the filing of this information disclosure statement.
,		AND
		A check for \$180.00 for the fee under 37 C.F.R. §1.17(i) for submission of the Information Disclosure Statement.
		2. §1.97(i). Wherein applicants are submitting references before the a patent to be placed in the file but not considered by the Patent office.
	144	ordingly, copies of the references as listed on the attached Form PTO 9 are submitted herewith for placement in the file. No certification or are deemed necessary.

P8959 2

Throughout the pendency of this application, please charge any additional fees, including any required extension of time fees, and credit all overpayments to deposit account 50-1302.

Respectfully submitted,

Dated: March \_\_\_\_\_, 2005

HICKMAN PALERMO TRUONG & BECKER LLP

Bobby K. Truong Reg. No. 37,499

2055 Gateway Place, Suite 550 San Jose, California 95110-1089

Telephone: (408) 414-1080 Facsimile: (408) 414-1076

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage in an envelope addressed to Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on

March 3, 2005 (Date of Deposit)

Trudy Bagdon

(Typed or printed name of person mailing correspondence)

(Signature of person mailing correspondence)

P8959 3

## INFORMATION DISCLOSURE CITATION OVE EN AN APPLICATION

MAR 0 7 2005

SERIAL NO. 10/619,657

(PTO-1449)

**APPLICANT:** 

15437-0696

Qingwen Cheng et al.

ATTY. DOCKET NO.

FILING DATE: July 14, 2003

**GROUP:** 2131

daucies		U.S. PATENT DOCUMENTS				
Exam. Initial*	Exam. Cite Initial* No.1	U.S. Patent D	ocument	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document	Pages, Columns, Lines, Where
Initial 140.	Number	Kind Code <sup>2</sup> (If known)	or crists assument	MM-DD-YYYY	Relevant Passages or Relevant Figures Appear	
		2003/0172127	A1	Northrup et al.	09-11-2003	
		2004/0001594	A1	Krishnaswamy et al.	01-01-2004	
		2004/0003139	A1	Cottrille et al.	01-01-2004	
		2004/0003251	A1	Narin et al.	01-01-2004	
		2004/0003268	A1	Bourne et al.	01-01-2004	
		2004/0003269	A1	Waxman et al.	01-01-2004	
		2004/0003270	A1	Bourne et al.	01-01-2004	
		2004/0117170	A1	Walsh et al.	06-17-2004	
		2004/0117460	A1	Walsh et al.	06-17-2004	

Exam. Cite Initial* No.1	-	FO: Foreign Patent Document			Name of Patentee or Applicant	Date of	Pages,	T <sup>6</sup>
	No.'	Office <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (If known)	of Cited Document	Publication of Cited Document MM-DD- YYYY	Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
					<u> </u>			

Examiner	Date Considered	
Signature		

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup>Unique citation designation number. <sup>2</sup>See attached Kinds of U.S. Patent Documents. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard S.3). 4For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. 5Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. 6Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

Substitute for Form 1449A/PTO (Modified) (use as many sheets as necessary)			Attorney Docket No.: 15437-0696		<b>pplication</b> 0/619,657	Number:	
			First Named Inventor: Qingwen Cheng Filing Date: July 14, 2003				
	OTHER ART – NO PATENT LITERATURE DOCUMENTS						
Examiner Initials*  Cite No¹  Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published						Translation <sup>2</sup>	
		Liberty Alliance Project, "Liberty II 2003, pp. 1-44.	D-FF Architecture Overview,	"Vers	ion 1.2,		
					·		
Examiner Signature			Date Con	sidered			

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

<sup>\*</sup>Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication.

<sup>&</sup>lt;sup>1</sup>Unique citation designation number. <sup>2</sup>Applicant is to place a check mark here if English language Translation is attached.